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Energy-Efficient Iterative Greedy Algorithm for the Distributed Hybrid Flow Shop Scheduling With **Blocking Constraints**

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Abstract— With the global energy shortage, climate anomalies, 6 environmental pollution becoming increasingly prominent, energy 7 8 saving scheduling has attracted more and more concern than before. This paper studies the energy-efficient distributed hybrid 9 flow-shop scheduling problem (DHFSP) with blocking constraints. 10 11 Our aim is to find the job sequence with low energy consumption as much as possible in a limited time. In this paper, we formulate a 12 mathematical model of the DHFSP with blocking constraints and 13 propose an improved iterative greedy (IG) algorithm to optimize 14 15 the energy consumption of job sequence. In the proposed algo-16 rithm, first, a problem-specific strategy is presented, namely, the 17 global search strategy, which can assign appropriate jobs to the factory and minimize the energy consumption of each processing 18 factory. Next, a new selection mechanism inspired by Q-learning is 19 proposed to provide strategic guidance for factory scheduling. This 20 21 selection mechanism provides historical experience for different factories. Finally, five types of local search strategies are designed 22 23 for blocking constraints of machines and sequence to be scheduled. These proposed strategies can further improve the local search 24 25 ability of the QIG algorithm and reduce the energy consumption caused by blocking. Simulation results and statistical analysis on 26 90 test problems show that the proposed algorithm is superior 27 28 to several high-performance algorithms on convergence rate and quality of solution. 29

Manuscript received 11 February 2023; revised 7 March 2023; accepted 20 March 2023. This work was supported in part by the National Natural Science Foundation of China under Grants 61973203, 61803192, 61966012, and 62106073, in part by the Guangyue Young Scholar Innovation Team of Liaocheng University under Grant LCUGYTD2022-03, in part by the Shandong Province Colleges and Universities through Youth Innovation Talent Introduction and Education Program, in part by the Natural Science Foundation of Hunan Province of China under Grant 2021JJ40116, and in part by the Natural Science Foundation of Shandong Province under Grants ZR2021QE195 and ZR2021QF036. (Corresponding author: Yuyan Han.)

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Digital Object Identifier 10.1109/TETCI.2023.3271331

Index Terms-Energy-efficient, distributed hybrid flow-shop 30 scheduling, blocking constraints, iterative greedy algorithm, 31 selection mechanism. 32

I. INTRODUCTION 33

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ITH the development of social economy and science, the 34 demand for energy has expanded rapidly. Coal, oil and 35 other non-renewable fossil resources are becoming more and 36 more important. Sustainable development and energy conserva-37 tion have become a matter of importance for countries [1], [2]. 38 Manufacturing is an energy-intensive sector that consumes 39 nearly one-third of the world's energy and produces 36% of the 40 world's carbon dioxide [3]. As a part of the manufacturing indus-41 try, intelligent optimization and scheduling play a very important 42 role in the machining process for the improvement of resource 43 utilization and energy consumption [4], [5]. Refer to [6], [7], [8], 44 for reducing the production cost and energy consumption, many 45 enterprises begin to use intelligent optimization algorithms to 46 find better scheduling sequences.

In real-world, to improve the productivity of production pro-48 cess, balance the flexibility of each processing stage and reduce 49 the impact of the bottleneck stage [9], enterprises start to set 50 identical parallel machines in each stage to process jobs. The 51 production line scheduling problem is noted as the hybrid flow 52 shop scheduling problem (HFSP) [10]. However, in some cases, 53 due to limits of storage space, product characteristics, or technol-54 ogy [11], there is usually no buffer between any adjacent parallel 55 machines in actual process of scheduling. This problem is also 56 named as the blocking HFSP (BHFSP). In addition, with the 57 increasing of market competition, the centralized manufacturing 58 approach has been hard to meet the current market demand 59 flexibly [12], [13], [14], [15]. Therefore, some companies begin 60 using a distributed production scheduling mode to share the 61 production pressure of the factory. Due to the emergence of 62 distributed production mode, many scholars started to conduct 63 extensive and in-depth research on the distributed flow shop 64 (multi-plant) scheduling problem (DPFSP) [16], [17]. In DPFSP, 65 companies set up multiple factories to process the same batch 66 of products in parallel, and it allows for more efficient resource 67 allocation, decentralization of companies' production pressure 68 as well as reduction of product production cycles and risks [18], 69 [19], [20]. 70

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In this paper, the energy-efficient distributed hybrid flow-71 shop scheduling problem (DHFSP) with blocking constraints 72 is studied. Due to the blockage of jobs, machines cannot per-73 74 form normal machining operations, causing unnecessary energy consumption. This also directly leads to a decrease in pro-75 cessing efficiency and raises production costs for the company. 76 Therefore, to reduce the occurrence of a job blocking, it is of 77 great importance to design strategies that can seek a reasonable 78 scheme and help factories find a near-optimal job sequence (i.e., 79 80 the one with the lowest energy consumption) in a large and irregular job sorting. 81

However, the generation of blocking conditions usually 82 changes uncertainly with the change of job sequencing in 83 DHFSP, and these factors also lead to irregular changes in 84 the optimal scheduling sequence. Thus, it is difficult to find 85 a satisfactory solution in a short time using traditional math-86 ematical methods. The intelligent optimization algorithm has 87 been widely used by scholars to solve the flow shop scheduling 88 problem for a long time and has achieved good results. This 89 paper designs optimization methods for job sequencing under 90 91 uncertainty for improving the productivity of enterprises and reducing energy consumption in sequence processing. Before 92 designing corresponding strategies for DHFSP with blocking 93 constraints, we first analyze existing challenges and difficulties 94 95 in this problem, the details are as follows:

- The quality of solution will change irregularly. Energy consumption of job sequence is affected by blocking constraints, resulting in irregular change and scope reduction of the sequence order in scheduling process. It is sometimes difficult to find a better feasible solution in a limited time.
- Assigning jobs to factories while ensuring suitability and
 efficiency, simultaneously. In the allocation process, due
 to the inefficient strategies, it may result in huge energy
 consumption of factories and reduced enterprise produc tivity.
- 3) Each processing factory is usually enclosed and unrelated.
 In specific scheduling process, the processing environment of each factory is isolated from each other. Although the distributed scheduling mode shares part of the production pressure, such a closed processing environment is not conducive to factory processing to a certain extent.

4) Blocking conditions of factories are difficult to be improved. Blocking constraints limit the local search range of each factory, and there is a complex nonlinear relationship between these restrictions and job sequence. The scheduling sequence solution is easier to fall into local optimum if the job is blocked on the current machine.

To the best of our knowledge, there is little research on DHFSP 119 with blocking constraints, but scholars have come to study the 120 related scheduling problem. To determine the scheduling se-121 quence and minimize the weighted completion time with a work 122 shift, Nejati et al. [21] solve the HFSP with parallel machines. 123 For minimizing the makespan and total flowtime, Marichelvam 124 et al. [22] address the scheduling problem with parallel ma-125 chines. Zhang et al. [23] utilized the shortest waiting time rule 126 and a combined neighborhood search strategy to solve the HFSP 127

with lot-streaming. To solve the blocking HFSP (BHFSP), Qin 128 et al. [24] designed the local and global perturbation strate-129 gies based on the blocking constraints to optimize the energy 130 consumption. Aqil et al. [25] investigated the BHFSP under 131 the sequence-dependent setup time constraint to minimize the 132 earliness and total tardiness with parallel machines. For solving 133 the DPFSP, Bargaoui et al. [26] make the solution jump out of the 134 local optimum and further improve the quality of the scheduling 135 sequence. Wang et al. [27] analyzed the critical path of the job 136 sequence and solve the distributed assembly permutation flow-137 shop scheduling problem (DAPFSP). Then, Wang et al. [13] 138 designed a mixed-integer linear programming model of the 139 DHFSP with heterogeneous factories and used the bi-population 140 cooperative memetic algorithm to solve this problem. Shao 141 et al. [12] developed the DNEH (Nawaz-Enscore-Ham) with 142 the smallest-medium rule and the multi-neighborhood iterated 143 greedy method to solve the DHFSP. 144

Although the above-mentioned researches have made significant contributions to the optimized scheduling problems, they still have the following limitations: 147

- They did not make targeted strategy design for blocking conditions. When consider the blocking condition of jobs, energy consumption of the scheduling sequence will alter irregularly with the change of arrangement order. The existing Intelligent optimization scheduling algorithms are not suitable for solving the DHFSP with blocking constraints.
- 2) Too long allocation time for jobs will reduce the search performance of the algorithm and production efficiency. It is difficult to reduce the impact of blocking constraints quickly in such a large search region and find the best allocation scheme. 159
- 3) Neither of them considers breaking the closed processing state between different factories. The scheduling environment is isolated, resulting in production occlusion among different factories.
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- 4) They do not take the blocking conditions of parallel machines of factories into account. When blocking constraints are considered, the local search scope of the scheduled sequence is narrowed, which makes its solution easily fall into the local optimal in the iterative process.

For solving these problems mentioned above, we reviewed 169 and compared the performance of different intelligent opti-170 mization algorithms [9], [28], [29], [30], [31], hoping to find 171 a suitable algorithm and making further improvements based on 172 the characteristics of DHFSP with blocking constraints. Finally, 173 we learned that Iterated Greedy (IG) algorithm [32] shows its 174 superiority in many scheduling problems compared to other 175 intelligent optimization algorithms. It has fewer parameters and 176 a simple structure, which makes it easy to be realized. Thus, in 177 this paper, we propose an improved IG algorithm to reduce the 178 energy consumption of DHFSP with blocking constraints. 179

- The main contributions of this paper are given as follows.
- In this paper, to satisfy the manufacture demands, we first design the mathematical model of DHFSP with blocking constraints for minimizing the energy consumption and use the gurobi to verify its correctness.

185 2) To explore the promising solution more quickly, this paper
186 proposes a global search strategy with the consideration of
187 job sequences in different factories. The proposed strategy
188 can improve the global search ability of the algorithm,
189 and further reduce energy waste by adjusting the job
190 arrangement order.

3) A new selection mechanism inspired by Q-learning is
integrated into the IG algorithm to break the closed state
between factories. This selection mechanism realizes experience sharing and interaction between different factories. Experiments show that it can slightly improve the
performance of the proposed algorithm.

4) To further improve the local search ability of IG algorithm,
we present five local search strategies for reducing the
energy consumption. These strategies can perform a wide
range of adjustments to blocking jobs and help find better
solutions.

The remainder of this paper is organized as follows. Section II 202 203 reviews some existing literature that solve the related problems. In section III, the mathematical model of DHFSP with blocking 204 constraints is formulated. Section IV proposed the framework 205 and details of the improved IG algorithm. In Section V, compar-206 ison results show the performance of the proposed algorithm. 207 Section VI gives the concluding remarks and directions for 208 209 future research.

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II. LITERATURE REVIEW

The DHFSP with blocking constraints, to the best of our knowledge, has not been previously studied in the existing researches. Therefore, we review the closely related contributions, e.g., HFSP, BHFSP, DPFSP, DHFSP.

In recent years, many types of intelligent optimization algo-rithms have been proposed to solve the HFSP and its extensionproblem, BHFSP.

For solving HFSP, A hybrid iterated local search algorithm 218 is proposed to solve the HFSP for economic lot-sizing and 219 sequence [33]. Kurdi [34] designed an AC system with a 220 novel Non-Daemon Actions procedure for multiprocessor task 221 scheduling in HFSP. Liu et al. [35] designed the hybrid algorithm 222 to solve the specialized two-stage HFSP with parallel batching 223 machines. Ztop et al. [36] suggested four variants of iterated 224 greedy algorithms and a variable block insertion heuristic for 225 the HFSP with total flowtime minimization. For minimizing the 226 completion time, Yu et al. [9] proposed a genetic algorithm to 227 solve the HFSP with machine eligibility and unrelated machines. 228 The above algorithms effectively solve the problem of HFSP, 229 they did not consider the blocking constraints into the HFSP. 230 However, this condition is very common in the real world, such 231 as concrete blocks [37] and metalwork [38]. Therefore, later, 232 we look for some currently published literature on HFSP with 233 blocking constraints (BHFSP). 234

The presence of the BHFSP in manufacturing industry system has been the subject of many researches. Luo et al. [38] presented a genetic algorithm (GA) algorithm to investigate a two-stage BHFSP in real-world metal-working company, the objective of makespan is optimized in this literature. Nakkaew et al. cite 2016 Acom presented a GA and a discrete artifical bee colony 240 (DABC) algorithm to solve the BHFSP with sequence dependent 241 setup times with the minimization of the overall production time. 242 Missaoui et al. [39] proposed a meta heuristic centered on IG 243 method to investigate the BHFSP with optimizing the sum of 244 the tardiness and earliness. The above studies are all carried out 245 on BHFSP, and they have solved this problem well. However, in 246 these problems, distributed scheduling environment is not con-247 sidered, let alone the distributed HFSP (DHFSP) with blocking 248 constraints with energy consumption as the optimization goal. 249

In order to meet the needs of the modern market, many 250 enterprises will establish multiple factories to improve the pro-251 cessing efficiency of products, which has become a new research 252 hotspot: DPFSP. Many scholars have designed a serious of 253 effective meta-heuristic algorithms to solve the DPFSP. Jian 254 et al. [40] proposed a new tabu search algorithm to solve the 255 DPFSP. Rifai et al. [41] proposed a multi-objective adaptive 256 large neighborhood search algorithm to solve the distributed 257 reentrant flow shop scheduling (DRPFS) problem with three 258 objectives, the total cost, the maximum completion time, and 259 the average delay. Pan et al. [20] proposed a series of algorithms 260 based on construct heuristic and meta-heuristic frameworks to 261 solve the DPFSP and the DAPFSP [42]. Ruiz et al. [43] used the 262 IG algorithm to solve this problem and proved its effectiveness. 263 Recently, Pan et al. [44] proposed an effective co-evolutionary 264 algorithm to solve the distributed flow-shop group scheduling 265 problems. Ochi et al. [45] designed the bounded search Iterated 266 Greedy Algorithm BSIG to solve the DAPFSP problem. On the 267 same problem, Huang et al. [46] proposed a group-think based 268 IG algorithm (GIGA)) to optimize the total flow time. Recently, 269 Shao et al. [47] proposed an efficient IG algorithm to solve 270 DPFSP with blocking to minimize the maximum completion 271 time. Similarly, Chen et al. [48] also used IG algorithm to solve 272 DPFSP with blocking constraints. These algorithms effectively 273 solve the multi-factory scheduling problem. However, the situa-274 tion of the parallel machines is not included. Thus, next, we look 275 for some literatures that integrate the distributed and parallel 276 machine scheduling, and investigate whether the previously 277 mentioned blocking constraints and energy consumption are 278 considered as targets. 279

According to our survey, there are a few studies on DHFSP. 280 For example, Ying et al. [49] proposed a self-tuning iterated 281 Greedy (SIG) algorithm to optimize the maximum completion 282 time of the job sequence. Lei et al. [50] proposed the Shuffled 283 Frog-leaping algorithm with Memeplex grouping (MGSFLA) 284 to solve the distributed two-stage hybrid flow shop schedul-285 ing problem with sequence-dependent setup times (DTHFSP). 286 Wang et al. [13] proposed a bi-population cooperative memetic 287 algorithm (BCMA) for solving the heterogeneous factories of 288 the DHFSP. Shao et al. [12] proposed the DNEH with shar-289 medium rule and the multi-neighborhood iterative greedy al-290 gorithm to solve the DHFSP. Li et al. [51] proposed the hy-291 brid discrete artificial bee colony algorithm to solve a parallel 292 batching DPFSP with deteriorating jobs. Zheng et al. [52] pro-293 posed a cooperative coevolution algorithm to solve the multi-294 objective fuzzy DHFSP with fuzzy machining time and fuzzy 295 delivery time. The above studies comprehensively consider the 296

conditions of parallel machines and multiple factories. These
papers are very new and efficient, but they do not consider
the blocking condition of the jobs and energy consumption,
simultaneously. As a result, we find that none of the published
papers solve the DHFSP with blocking constraint with energy
consumption as the optimization objective.

The existing literature mentioned above only considers two 303 or three of conditions (e.g., the parallel machines, blocking con-304 straints, distributed environment, energy consumption). How-305 306 ever, all kinds of these situations widely exist in the real-world, such as the production of glass and concrete. In this paper, we 307 study the problem with these four conditions simultaneously. 308 Then, we propose an improved IG algorithm to optimize energy 309 consumption. Based on the advantages of IG algorithm, we 310 design the global and local search strategies based on swap 311 312 operators for blocking constraints. The proposed strategies can reduce the computational complexity of the algorithm and in-313 crease the quality of solutions. 314

III. PROBLEM DESCRIPTION

This section first proposes the DHFSP model with blocking constraints. Then it gives the mathematical definitions of the assumptions, parameters, optimization objective, and constraints of this problem in Section III-A. In Section III-B, it gives the Gantt charts with and without blocking constraints to illustrate the impact of restrictions on energy consumption.

322 A. Problem Formulation

323 The DHFSP with blocking constraints is formulated as follows. It comprises F(f=1,...,F) factories and each factory f con-324 tains a set of parallel machines with S(s=1,...,S) stages. Each 325 stage has a different number of machines. A collection of 326 J(j=1,...,J) jobs are assigned to any one of these factories to 327 process orderly. In all factories, there is no buffer between any 328 two continuous stages. When jobs are finished but all machines 329 in the next stage are in processing state, jobs will be blocked on 330 the current production line until one of the downstream machines 331 332 is available. Once the blocking condition occurs, it will affect the overall production efficiency of the sequence and increase 333 the energy consumption. In this paper, the schedule problem 334 contains two parts: allocating all jobs to one of the F identical 335 factories and determining the processing order with minimum 336 energy consumption. According to the literature [10], [13], [53], 337 we give the assumptions, parameters, decision variables, objec-338 tive, and constraints of the DHFSP with blocking constraints. 339

The problem can be solved in three parts: job processing en-340 ergy consumption, blocking energy consumption, and machine 341 342 idle energy consumption. In the MILP model, the difference between the departure time and the completion time of each 343 344 job on the same machine is the corresponding machine blocking time. If the departure time of the job is greater than its completion 345 time, it means that the job is blocked on the current machine. 346 In this paper, when establishing the mathematical model, the 347 first step is to determine the factory allocation problem, and the 348 349 second step is allocating machines according to the relationship between the completion time and the departure time of jobs, then process the job sequence and calculate the objective value. 351

Assumptions:1) All jobs and machines are available at time zero.

- 2) The processing time of each job is predefined.
- Each job must choose exactly one factory to process and once a job is assigned in one factory, it cannot be assigned to other factories.
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- 4) The processing order is determined in the first stage, and jobs in this order are processed from the first stage to the last stage.
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- 5) Each job must pass through all stages, and at any given 361 time, a job can only be processed on exactly one machine 362 and each machine can only process one job.
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- 6) There is no buffer between any two continuous stages.
- 7) Both blocking and idle states of machines are considered.
- 8) Once a job is completed at the current machine, it must be transported to the next stage immediately.
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- 9) No interruption and pre-emption are allowed.
- 10) Other time-consuming operations are included in processing time. 369

1) Paramet	ters:	371
J:	Number of jobs.	372
F:	Number of factories.	373
S:	Number of stages.	374
Γ :	The set of jobs, $\Gamma \in \{1, 2, \dots, N\}$.	375
Λ :	The set of factories, $\Lambda \in \{1, 2,, F\}$.	376
Ω :	The set of stages, $\Omega \in \{1, 2,, S\}$.	377
j, j_1, j_2 :	Index of jobs, $j, j_1, j_2 \in \Gamma$.	378
f:	Index of factories, $f \in \Lambda$.	379
s:	Index of stages, $s \in \Omega$.	380
$M_{f,s}$:	Number of parallel machines at stage s in factory	381
	<i>f</i> ,.	382
m:	Index of machines at stage s in factory $f, m \in$	383
	$\{1,\ldots,M_{f,s}\}.$	384
$p_{j,s}$:	Processing time of job j at stage s .	385
$EC_s^{Process}$:	The energy consumption per unit time of a job	386
	which is processed at stage s.	387
$EC_s^{Blocking}$:	The energy consumption per unit time of a job	388
	which is blocked at stage s.	389
EC_s^{Idle} :	The energy consumption per unit time of a ma-	390

h: Sufficiently large positive number. 392

2) Decision variables:

$C_{j,s}$:	The completion time of job j at stage s .	
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- $D_{j,s}$: The departure time of job *j* at stage *s*.
- $\begin{array}{ll} E_{f,s,m} \colon & \text{The shutdown time of machine } m \text{ at stage } s \text{ in factory} & \text{ 396} \\ & f. & \text{ 397} \end{array}$
- $x_{j,f}$: Binary decision variable, 1 if job j is assigned in 398 factory f, 0 otherwise. 399
- $y_{j,f,s,m}$: Binary decision variable, 1 if the job j is processed 400 on machine m at stage s in factory f, 0 otherwise. 401

 $z_{j_1,j_2,s}$: Binary decision variables, 1 if both the job j_1 is 402 processed before the job j_2 at stage s, 0 otherwise. 403

PEC: The total energy consumption of machines when they stay at the processing state. 405

(3)

- 406BEC:The total energy consumption of machines when they407stay at the blocking state.
- 408 *IEC*: The total energy consumption of machines when they409 are at the idle state.

3) Objective:

Minimize (PEC + BEC + IEC)

$$\sum_{f=1}^{F} x_{j,f} = 1, \ \forall j \in \Gamma$$
(1)

$$\sum_{m=1}^{M_{f,s}} y_{j,f,s,m} = x_{j,f}, \ \forall f \in \Lambda, \ \forall s \in \Omega, \ \forall j \in \Gamma$$
(2)

$$C_{j,s} - p_{j,s} \ge 0, \ \forall j \in \Gamma, \ \forall s \in \Omega$$

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$$D_{j,s} \ge C_{j,s}, \ \forall s \in \Omega, \ \forall j \in \Gamma$$
 (4)

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$$C_{j,s} = D_{j,s-1} + p_{j,s}, \ \forall s \in \Omega, \ \forall j \in \Gamma$$
(5)

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$$z_{j_1,j_2,s} + z_{j_2,j_1,s} = 1, \ \forall s \in \Omega, \ \forall j_1, j_2 \in \Gamma, \ j_1, \neq j_2$$
 (6)

$$C_{j_2,s} \ge D_{j_1,s} + p_{j_2,s} + (y_{j_1,f,s,m} + y_{j_2,f,s,m} + z_{j_1,j_2,s} - 3) \cdot h,$$

$$\forall f \in \Lambda, \forall s \in \Omega, \ \forall m \in \{1, 2, \dots, M_{f,s}\},$$

$$\forall j_1, j_2 \in \Gamma, \ j_1, \neq j_2$$

(7)

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$$E_{f,s,m} \ge D_{j,s} + (y_{j,f,s,m} - 1) \cdot h, \ \forall f \in \Lambda,$$

$$\forall s \in \Omega, \ \forall m \in \{1, 2, \dots, M_{f,s}\}, \ \forall j \in \Gamma$$
(8)

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$$IEC = \sum_{s=1}^{J} EC_s {}^{Idle} \cdot \sum_{1}^{M_{f,s}} E_{f,s,m} - \sum_{j=1}^{J} p_{j,s} - \sum_{j=1}^{J} (D_{j,s} - C_{j,s})$$
(9)

$$PEC = \sum_{s=1}^{S} \sum_{j=1}^{J} \left(EC_s^{Process} \cdot p_{j,s} \right)$$
(10)

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$$BEC = \sum_{s=1}^{S} \sum_{j=1}^{J} \left(EC_s^{Blocking} \cdot (D_{j,s} - C_{j,s}) \right)$$
(11)

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$$x_{j,f} \in \{0,1\}, \ \forall f \in \Lambda, \ \forall j \in \Gamma$$
 (12)



Fig. 1. (a) The Gantt diagram of HFSP with and without blocking constraints from the same factory. (b) The Gantt diagram of HFSP with blocking constraints in distributed environment.

$$y_{j,f,s,m} \in \{0,1\}, \ \forall f \in \Lambda, \ \forall s \in \Omega, \ \forall j \in \Gamma,$$

 $\forall m \in \{1,2,\ldots,M_{f,s}\}$ (13)

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$$z_{j_1,j_2,s} \in \{0,1\}, \, \forall s \in \Omega, \, \forall j_1, j_2 \in \Gamma \in \{0,1\}$$
(14)

The objective is to minimize the total energy consumption of 422 all machines. Eq. (1) ensures that each job can only be assigned 423 to one factory for processing at most, and constraints (2) tell 424 that each job can only be processed by one machine at each 425 stage. Constraints (3) indicate that the completion time of each 426 job should not be less than its processing time. Constraint set 427 (4) ensures that due to the influence of blocking, the departure 428 time of the job is greater than or equal to its completion time. 429 Constraint set (5) defines that the completion time of a job in one 430 stage is equal to the processing time in the same stage plus its 431 departure time in the previous stage. Constraints (6) mean that 432 there is only one sequence between jobs j_1 and j_2 . Constraint 433 set (7) represents that the completion time of one job is not less 434 than its processing time in the same stage plus its departure time 435 of its precursor. Constraint set (8) ensures that the shutdown 436 time of a machine at one stage is not less than the departure 437 time of the jobs on the same machine. Eq. (9) computes the 438 energy consumption of the machines which are at idle states. Eq. 439 (10) calculates the energy consumption of processing jobs. Eq. 440 (11) computes the calculation of the blocking time of machines. 441 Constraint set (12) ensures whether the job is assigned to the 442 factory. Constraint set (13) indicates whether the job is assigned 443 to a machine at a certain stage of a factory. Constraint set (14) 444 makes sure whether there is a sequence of two jobs processed 445 in the same stage. 446

B. Example Instance 447

To further illustrate different conditions with and without 448 blocking constraints in more detail, Fig. 1(a) shows the Gantt 449

diagram of a simple example with five jobs and two stages in the
same factory, each of which consists of two parallel machines in
one stage. The horizontal axis is used to describe the completion
time of jobs, and the vertical axis is used to indicate stages and
machines. Relevant data are given as follows:

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$$p_{j,s} = \begin{vmatrix} 3 & 7 \\ 2 & 10 \\ 2 & 4 \\ 4 & 5 \\ 8 & 6 \end{vmatrix} \quad EC_{f,s}^{Process} = \begin{bmatrix} 5 & 7 \end{bmatrix}$$

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$$EC_{f,s}^{Blocking} = \begin{bmatrix} 3 & 4 \end{bmatrix} EC_{f,s}^{Idle} = \begin{bmatrix} 2 & 1 \end{bmatrix}$$

To expound the energy consumption with and without block-456 457 ing constraints, we take the case that is in Fig. 1(a) to elaborate processing status of the job sequence. Both the processing 458 energy consumption of two cases are $19 \times 5 + 32 \times 7 = 319$. The 459 idle energy consumption of the first case (without blocking 460 constraints) is $5 \times 1 = 5$, where the idle and blocking energy 461 462 consumption of the second case (with blocking constraints) is $9 \times 1 + 11 \times 3 = 42$. Therefore, the energy consumption of 463 HFSP with and without blocking constraints are 361 and 324, 464 respectively. It can be seen that due to the blocking constraints, 465 these five jobs will waste 37 more energy consumption than the 466 467 case without the same restrictions. To show that the distributed production environment can effectively reduce the energy waste, 468 we allocate these five jobs to two factories for processing. As 469 shown in Fig. 1(b), jobs 1 and 2 are assigned to factory 1, and 470 jobs 3, 4, 5 are assigned to factory 2. Blocking conditions are 471 eliminated after the allocation of 5 jobs. Moreover, the energy 472 473 consumption caused by idle states is 5+10 = 15. Total energy consumption of scheduling in distributed environment is 319+15 474 = 334, which consumes 27 less energy than HFSP with blocking 475 constraints. The introduction of distributed scheduling mode can 476 reduce the energy consumption caused by blocking constraints. 477 Besides, with the increasing scale of jobs and stages, this kind 478 479 of energy waste will also increase [24]. It shows the importance of a good scheduling strategy for the enterprise. Therefore, we 480 can design highly efficient search strategies across and within 481 factories to reduce blocking conditions. 482

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IV. PROPOSED ALGORITHM

This section describes the proposed QIG algorithm in detail and it is divided into three main parts, i.e., initialization strategy, global search strategy, and local search strategy. To make readers understand this algorithm more clearly, the framework of QIG is provided in Algorithm 1.

As shown in Algorithm 1, $NEH_F(\pi)$ initialization strategy 489 is used to allocate jobs to factories. The *GlobalSearchStrategy* 490 operates the arrangement order of jobs in different factories. In 491 the while loop, SelectionMechanism provides strategy selection 492 guidance for all factories. In SelectionMechanism, each factory 493 selects a certain local search strategy to execute, and the process 494 is performed sequentially by factory number. When the opera-495 496 tion of SelectionMechanism is finished, GlobalSearchStrategy

Algorithm 1: The Framework of The QIG Algorithm.
Require: $\pi = \{\pi_1, \pi_2,, \pi_J\}$, parameters used in this
algorithm
Ensure: π^{best} and the corresponding energy consumption
EC
1: Initialization:
2: $\pi^{\text{temp}} = NEH_F(\pi)$
3: <i>GlobalSearchStrategy</i> (π , π^{temp})
4: Algorithm Body:
5: while the termination criterion is not satisfied do
6: $selectionMechanism(\pi^{temp})$
7: <i>GlobalSearchStrategy</i> (π , π^{temp})
8: if $f(\pi^{\text{temp}}) < f(\pi)$ then
9: $\pi = \pi^{\text{temp}}$
10: if $f(\pi) < f(\pi^{\text{best}})$ then
11: $\pi^{\text{best}} = \pi$
12: end if
13. end if

14: end while

for jobs, just as same as the strategy in initialization stage, will497execute again. At the end of the while loop, the current best498sequence is updated. If the termination condition is not reached,499the procedure will continue to execute the while loop.500

A. Initialization Strategy 501

For the optimization of energy consumption in DHFSP with 502 blocking constraints, the quality of the initial solution will have a 503 direct impact on the later iteration. It can be seen from Algorithm 504 1 that QIG algorithm improves only one solution in the whole it-505 erative process. Therefore, it is important to use a high-efficiency 506 initialization strategy to sort the job sequence. Nawaz, Enscore, 507 and Ham (NEH) [54] heuristic is an excellent heuristic algorithm 508 that is often embedded into some metaheuristics. Huang and Pan 509 et al. [55] used an algorithm, named NEH_F, which is based 510 on NEH and characteristics of multiple factories to solve the 511 allocation problem of jobs, and finally achieved good results. 512 Thus, to get a better solution, this paper introduces the NEH_F 513 method as the initialization strategy of QIG algorithm to allocate 514 jobs to all factories. The processing steps of NEH_F are as 515 follows. (1) At the beginning of the NEH_F strategy, a job 516 sequence = $\pi = \{\pi_1, \pi_2, \dots, \pi_J\}$ is arranged in descending 517 order according to total processing time. (2) The first F jobs 518 are taken out from sequence and allocate them to F factories 519 one by one. (3) The remaining n-F jobs are extracted one by one 520 and inserted into the best position of all factories. The details of 521 *NEH_F* heuristic algorithm are presented in Algorithm 2. 522

B. Global Search Strategy

Cross-factory operations using the insert strategy to allocate 524 jobs usually spend a lot of time. In the process of scheduling 525 for different factories, blocking constraints will directly influence the completion efficiency of processing task and energy 527 consumption. Thus, to reduce the occurrence of blocking in 528

Require: $\pi = \{\pi_1, \pi_2, ..., \pi_J\}$ **Ensure:** π^{temp} Ensure: π = $\sum_{s=1}^{S} p_{j,s}, j = 1, 2..., n$ 2: $\pi^{\text{temp}} = Sort_descend(\sum_{s=1}^{S} p_{j,s}), j = 1, 2..., n$ 3: for $j = 1 \rightarrow F$ do 4: $f_j = \pi_j^{\text{temp}}$ 5: end for 6: for $j = F+1 \rightarrow n$ do 7: **for** $f = 1 \rightarrow F$ **do** Extract the job π_i^{temp} from sequence π^{temp} and 8: insert into all the positions of factory f 9: $Pos_j, EC_f \%$ the best position and the minimum energy consumption end for 10:

11: $Pos_j^{best} = arg(min_{f=1}^F EC_f)$ 12: Insert π_j^{temp} into the position Pos_j^{best}

13: end for

Algorithm 3: Global Search Strategy.

Require: π , π^{temp} , bool value *flag* = *false* **Ensure:** π^{temp}

- 1: Set parameter: f_{\max} %The factory that consumes the most energy
- 2: $EC_{old} = EC(\pi)$
- 3: Randomly select a factory f_{random} that is different from the factory f_{max}
- 4: for $j = 1 \rightarrow n$ do
- 5: Randomly select a job from the factory f_{random}
- 6: Randomly select a job from the factory f_{max}
- 7: Swap positions of the two jobs in factories f_{\max} and f_{random}
- 8: $EC_{new} = EC(\pi^{\text{temp}})$
- 9: if $EC_{new} < EC_{old}$ then
- 10: flag = true;
- 11: $\pi = \pi^{\text{temp}}$
- 12: else

13: $\pi^{\text{temp}} = \pi$

14: **end if**

15: end for

job sequence as much as possible and find the near-optimal 529 solution more quickly and efficiently, we propose a global search 530 strategy based on swap operator to sort jobs across factories. The 531 proposed strategy can arrange a large number of jobs in a short 532 time and can help the algorithm find a good solution. The steps 533 for the global search strategy are as follows. (1) Based on the job 534 sequence assigned by NEH_F, we first select the factory f_{max} 535 with the highest energy consumption, and then randomly select 536 another factory, denoted as f_{random} . (2) Randomly select one 537 job from each of the two factories. (3) If the exchanged sequence 538 is better than the original sequence, the original sequence is 539 replaced. (4) After *n* iterations, the procedure ends. The pseu-540 541 docode of the global search strategy is shown in Algorithm 3.

C. The New Selection Mechanism

In the iterative process, the processing environments of dif-543 ferent factories are independent and closed to each other. A 544 factory may reduce more energy consumption through strategies 545 that are instructional in character. When the factory chooses 546 an inappropriate strategy, the quality of the solution is difficult 547 to improve and it is easy to fall into the local optimal state. 548 Reinforcement learning (RL) is an important machine learn-549 ing algorithm [56]. RL uses scalar reinforcement reward to 550 interact with the complex environment [57], which maps the 551 actions executed to the environment, and continuously learns 552 new knowledge through the feedback to obtain the maximum 553 cumulative return. As a free mode learning method, algorithms 554 inspired by Q-learning idea [58] has been successfully applied 555 to optimization problems in recent years [31], [59]. In related 556 research, each factory completes the scheduling work inde-557 pendently. The processing experience is difficult to share with 558 other factories, which leads to the isolation among factories. 559 To help enterprise break this isolated phenomenon, this paper 560 proposes a new selection mechanism inspired by Q-learning to 561 select appropriate the local search strategy for each factory with 562 blocking constraints. This selection mechanism can effectively 563 solve the occlusion problem among factories and improve their 564 production efficiency. The process of this selection mechanism 565 is described as follows: 566

Refer to [59], we first set up a new type of 'Q-value table' 567 to store the policy selection data of the factory. In the 'Q-value 568 table', row represents different processing factories, column rep-569 resents different local search strategies. The local search strategy 570 of each column corresponding to all factories is the same. At the 571 beginning of the iteration, strategies are randomly selected and 572 all values in the 'Q-value table' are set as 1. As the iteration 573 continues, the 'Q-value table' is gradually updated according to 574 the sequence of row(factory) number, and if the Q-value in the 575 corresponding column changes, it indicates that the strategy is 576 implemented by the current factory. In the selection mechanism, 577 an execution step is performed by selecting strategies according 578 to the fitness value of each factory. In this paper, the fitness 579 value is set as the reciprocal of energy consumption. Similar 580 to the setting in reference [59], the Q-value update function 581 is indicated as $Q(f_t, str_t) = (1 - \alpha)Q(f_t, str_t) + \alpha(r_{t+1} + \alpha)Q(f_t, str_t)$ 582 $\gamma maxQ(f_{t+1}, str_{t+1}))$. The $Q(f_t, str_t)$ value represents that 583 factory f_t carry out the strategy str_t , α indicates the learning 584 rate, γ indicates the discount rate, r_{t+1} represents the reward 585 value after carrying out the strategy, in the paper, it shows the 586 difference between the new and old fitness values. f_{t+1} and 587 str_{t+1} represent the next factory number and corresponding 588 strategy. $Q(f_{t+1}, str_{t+1})$ represents that choose the maximum 589 Q-value in factory f_{t+1} using strategy str_t . 590

After the implementation of the corresponding strategy 591 in all factories, fitness values $fitness_f$ of factory f should 592 be calculated according to the equation $fitness_f = 1/EC_f$. 593 Then, factory numbers should be arranged in descending order 594 according to their fitness values, so that the factory with high 595 fitness value can be used as the guidance object for the previous 596 factory to provide strategy selection. After the sorting of fitness 597

Algorithm 4: The New Selection Mechanism (Iteration == 1).

Require: π^{temp} , strategy $str \in \{0, 4\}$ π^{temp_f} , % The sequence in factory f $fitnessold_f$, f = 1, 2, ..., F, % The fitness value of each

factory

- Ensure: π^{temp}
- 1: for $f = 1 \rightarrow F$ do
- 2: Randomly select the strategy *str* for the π^{temp_f}
- 3: $fitness_f$ () % Compute the fitness value of the factory f
- 4: end for
- 5: Arrange in descending order according to the fitness value of each factory, and new fitness is recorded as *fitnessnew*_f**do**
- 6: Execute the equation $Q(f_t, str_t) = (1 \alpha)Q(f_t, str_t) + \alpha(r_{t+1} + \gamma \max_a Q(f_{t+1}, str_{t+1}))$ to update the Q-value table 7: $fitnessold_f = fitnessnew_f$

values, equation $Q(f_t, str_t) = (1 - \alpha)Q(f_t, str_t) + \alpha(r_{t+1} + \alpha)Q(f_t, str_t)$ 598 $\gamma maxQ(f_{t+1}, str_{t+1}))$ is used to calculate the Q-value of each 599 factory, which $r_{t+1} = fitnessnew_f - fitnessold_f$, if the r_{t+1} 600 value is greater than 0, it means that using the strategy brings 601 602 positive reward accumulation, otherwise, it has a negative accumulation. In addition, to prevent the accumulation of reward 603 value for only one strategy, we set a larger probability parameter 604 605 value, noted as p. From the second iteration, we first randomly generate a value of [0-1]. If the value is less than 0.5, the 606 strategy with the largest reward value $maxQ(f_{t+1}, str_{t+1})$ will 607 be selected and executed. If not, a strategy will be randomly 608 selected for execution. Through test results, we found that the 609 method mentioned above can avoid the accumulation of reward 610 value for only one strategy. 611

The pseudocode of the new selection mechanism is shown in Algorithms 4 and 5.

614 D. Local Search Strategy

In the production scheduling workshop, the quality of the 615 local solution also has a direct impact on the overall energy 616 consumption. More efficient scheduling strategies can provide 617 more possibilities for exploring broader search neighborhoods 618 and finding better job sequences. To further improve the local 619 search performance of the QIG algorithm, this paper presents 620 five local search strategies to reduce the impact of blocking 621 constraints on energy consumption. In the proposed local search 622 623 strategies, there are four strategies based on swap operations, and two of these strategies are randomly are designed based on 624 625 blocking constraints. The remaining two strategies are based on the randomness of the exchange of jobs. Finally, we in-626 troduce the destruction-reconstruction strategy of traditional 627 IG algorithm as the fifth strategy. The proposed strategies can 628 help the solution jump out of the local optimal and reduce the 629 630 energy consumption of blocking by changing the order of the **Algorithm 5:** The New Selection Mechanism (Iteration != 1).

Require: π^{temp} , strategy $str \in \{0, 4\}$

 π^{temp_f} , % The sequence in factory f

 $fitnessold_f, f = 1, 2, ..., F, \%$ The fitness value of each factory

Ensure: π^{temp}

- 1: for $f = 1 \rightarrow F$
- 2: $p = rand(), p \in \{0, 1\}$
- 3: **if** *p* < 0.5 **then**
- 4: $str = maxQ(f_{t+1}, str_{t+1}), \pi^{temp_f} = carryout(str)$
- 5: $fiteness_f$ () % Compute the fitness value of the factory f
- 6: **else**
- 7: Randomly select a strategy for the π^{temp_f}
- 8: $fiteness_f$ () % Compute the fitness value of the factory f
- 9: end if
- 10: end for
- 11: Arrange in descending order according to the fitness value of each factory, and new fitness is recorded as *fitnessnew*_f
- 12: Execute the equation $Q(f_t, str_t) = (1 \alpha)Q(f_t, str_t) + \alpha(r_{t+1} + \gamma \max_a Q(f_{t+1}, str_{t+1}))$ to update the Q-value table
- 13: $fitnessold_f = fitnessnew_f$

sequence. Moreover, the choice of strategy requires the use of the new selection mechanism proposed in the previous subsection C to improve the performance of these strategies as much as possible. 631

As can be seen from Algorithms 6, 7 and 8, strategies 1 and 635 2 (str 1 and 2 for short) are designed based on blocking jobs. 636 In these two strategies, we first select two blocking jobs and 637 exchange their positions, then we get sequence $\pi^{temp_f_new}$. If 638 str 1 is executed and the new sequence $\pi^{\text{temp}_f_{new}}$ is better than 639 the original sequence π^{temp_f} , the original sequence π^{temp_f} is 640 directly replaced by $\pi^{temp_f_new}$; If str 2 is executed and the 641 new sequence $\pi^{temp_f_new}$ is better than the original sequence 642 π^{temp_f} , it continues to iterate on the basis of the original 643 sequence π^{temp_f} , at this time, π^{temp_f} is also replaced by 644 $\pi^{temp_f_new}$. When implement *str* 3 and *str* 4, we first set the 645 number of iterations as $|\pi^{\text{temp}_f}|$. In these two strategies, first, 646 two jobs with unequal positions are randomly selected for ex-647 change. If execute the str 3 and a better sequence $\pi^{\text{temp}_f'_{\text{interval}}}$ 648 is get, we update the new sequence by $\pi^{\bar{temp}f'} = \pi^{temp}$, then 649 continue to iterate until the end of the first level of the for loop. 650 At the end of the loop, if $f(\pi^{\text{temp}_f'_{\text{interval}}}) < f(\pi^{\text{temp}_f'})$, 651 $\pi^{\text{temp}_{f'}} = \pi^{\text{temp}_{f'}\text{-interval}}$. If execute the *str* 4 and get a bet-652 ter sequence $\pi^{\text{temp}_{f'}\text{new}}$, the $\pi^{\text{temp}_{f'}}$ is directly replaced by 653 $\pi^{\text{temp}_{f'_new}}$. str 5 uses destruction-construction strategy to 654 change current solution. First, d random jobs are extracted from 655 the original sequence $\pi^{\text{temp}_{f'}}$ and inserted sequentially into 656 all positions in the sequence $\pi^{\text{temp}_f''}$ for testing, and finally, 657

Algorithm 6: The Local Search Strategy (str == 1 or str == 2).

Require: π^{temp_f} , bool *flag* = *true*, action *str*, the number of the blocked jobs: *count*_{block}, **Ensure:** π^{temp_f} 1: while flag == false do 2: for $count = 1 \rightarrow count_{block}$ do $\pi^{\text{temp}_f_\text{new}} = swap(\pi^{\text{temp}_f})$ % Randomly swap 3: two blocked jobs in the π^{temp_f} if $f(\pi^{\text{temp}_f_new}) < f(\pi^{\text{temp}_f})$ then 4: **Case** str == 1: $\pi^{\text{temp}_f} = \pi^{\text{temp}_f_{new}}$, flag = true 5: 6: Case str == 2: $\pi^{\text{temp}_f_{\text{interval}}} = \pi^{\text{temp}_f_{\text{new}}}, \pi^{\text{temp}_f_{\text{new}}} =$ 7: $\pi^{\text{temp}_f}, \pi^{\text{temp}_f} = \pi^{\text{temp}_f \text{-interval}}, flag = true$ 8: $\pi^{\text{temp}_f_{new}} = \pi^{\text{temp}_f}$ 9: 10: end if 11: end for 12: end while

we select the sequence with the smallest energy consumption value as the new sequence $\pi^{\text{temp}_f'}$. If the energy consumption of $\pi^{\text{temp}_f'}$ is better than π^{temp_f} , $\pi^{\text{temp}_f} = \pi^{\text{temp}_f'}$.

661 V. EXPERIMENTAL RESULTS AND COMPARISONS

662 A. Experiment Settings

In this section, we evaluate the QIG algorithm for solving 663 DHFSP with blocking constraints. To evaluate the performance 664 of the new selection mechanism in this paper, we firstly com-665 pare the energy consumption with and without the selection 666 mechanism. Then, we compare four existing classical intelligent 667 optimization algorithms for solving related problems. Under the 668 condition of the same running time, if the QIG can achieve the 669 best results in most test cases, it can be proved that the pro-670 posed algorithm is effective to solve the DHFSP with blocking 671 constraints. 672

Refer to reference [55], we set the test set in 90 dif-673 ferent scale instances, and make $f \in \{2, 3, 4, 5, 6, 7\}, n \in$ 674 $\{50, 100, 150, 200, 300\}$ and $s \in \{5, 8, 10\}$. For each $f \times n \times s$ 675 combination, 30 replicas are generated and tested. The process-676 ing time $p_{i,s}$ is uniformly distributed in the range of [1, 30]. The 677 number of parallel machines at all stages in each factory equals 678 two. The energy consumption per unit time for idle, blocking, 679 and processing are from uniform distribution ranges [1, 2], [3, 680 4], and [5, 7], respectively. 681

In the experiments, all the algorithms are coded in C++ in 682 Visual studio 2019 and all the instances are run on a Pentium 683 processor with 2.60 GHZ, Intel Core i7, and a 16 GB RAM 684 under the Windows 10 operating system. For the sake of the 685 comparison in reference [55], the stopping condition for all al-686 gorithms are set to the identical execution time, i.e., $t = \omega \cdot n \cdot s$ 687 milliseconds, as the termination condition. In the condition, ω 688 is a predefined parameter value, it is a parameter that controls 689 690 the length of running time. In this paper, two values are set for Algorithm 7: The Local Search Strategy (str == 3 or str == 4).

Require: π^{temp_f} , bool *flag* = *true*, action *str*, the number of the blocked jobs: $count_{block}$, **Ensure:** π^{temp_f} 1: $\pi^{\text{temp}}_{f'_{\text{interval}}} = \pi^{\text{temp}}_{f}$ 2: for $j = 1 \rightarrow |\pi^{\text{temp}_f}|$ do 3: $\pi^{\text{temp}_f} = \pi^{\text{temp}_f}$ 4: for $i = 1 \rightarrow |\pi^{\text{temp}_f}|$ do 5: if $j \neq i$ then $\pi^{\text{temp}_f'_n\text{ew}} = swap(\pi_i^{\text{temp}_f'}, \pi_i^{\text{temp}_f'})$ 6: 7: end if if $f(\pi^{\text{temp}_f'_n\text{ew}}) < f(\pi^{\text{temp}_f'})$ then 8: 9: Case str == 3: if $f(\pi^{\text{temp}_f'_n\text{ew}}) < f(\pi^{\text{temp}_f'_n\text{interval}})$ then 10: $\pi^{\text{temp}_f'_{\text{interval}}} = \pi^{\text{temp}_f'_{\text{new}}}$ 11: $\pi^{\text{temp}_f} = \pi^{\text{temp}_f}$ 12: end if Case str == 4: $\pi^{\text{temp}_f} = \pi^{\text{temp}_f}$ 13: 14: end if 15: end for 16: Case str == 3: if $f(\pi^{\text{temp}_f'_{\text{interval}}}) < f(\pi^{\text{temp}_f'})$ then 17: $\pi^{\text{temp}}f' = \pi^{\text{temp}}f'$ -interval 18: 19: end if 20: end for 21: if $f(\pi^{\text{temp}_f}) < f(\pi^{\text{temp}_f})$ then $\pi^{\text{temp}_f} = \pi^{\text{temp}_f'}$ 22: 23: end if

Algorithm 8: The Local Search Strategy (str $== 5$).
Require: π^{temp_f} , bool <i>flag</i> = <i>true</i> , action <i>str</i> ,
the number of the blocked jobs: $count_{block}$,
Ensure $\pi^{ ext{temp_f}}$
1: Case str == 5: $\pi^{\text{temp}_f} = \pi^{\text{temp}_f}$
2: $U_{i=1}^d = extract(\pi^{\text{temp}_f'})$
3: for $j = 1 \rightarrow d$ do
4: $\pi^{\text{temp}_f''} = \pi^{\text{temp}_f'} \setminus U_j$
5: $\pi^{\text{temp}}f'' \xleftarrow{insert ith posistion} U_i$
$i=1 \ to \ \pi^{\text{temp}_f'} $
6: $\pi^{\text{temp}_f'} = arqmin_{i-1}^{ \pi^{\text{temp}_f'} } f(\pi^{\text{temp}_f''})$
7: end for
9. : $f(-\text{temp } f') < f(-\text{temp } f)$ then

8: if $f(\pi^{\text{temp}_1}) < f(\pi^{\text{temp}_1})$ then 9: $\pi^{\text{temp}_f} = \pi^{\text{temp}_{f'}}$ 10: end if

parameter ω : 5, 10. *n* is the number of the job, *s* is the number of the stage. The performance measure is calculated by relative percentage increase (RPI) and the formula is shown in (15). The RPI is used to estimate the difference between the current value obtained and the best value.

$$RPI(i) = (c_i - c_{best})/c_{best} \times 100$$
(15)

where c_i is the average value of energy consumption obtained by the algorithm i, c_{best} is the best energy consumption value

			QIG					
F_J_S	Time/s	Constraints	Gap	Lower bound	Energy Consumption	Time/s	Energy Consumption	
2_8_2	3600	723	2.06%	1381	1410	0.32	1442	
2_12_2	3600	1563	2.79%	2023	2081	0.48	2109	
3_16_2	3600	3779	3.20%	2665	2753	0.96	2783	
3_20_2	3600	5843	5.31%	3386	3576	1.2	3524	
4_24_3	3600	18363	9.60%	5907	6534	2.88	6514	
4_28_3	3600	24895	12.64%	6897	7872	3.36	7600	
5_32_3	3600	39683	12.92%	7918	9093	4.8	8722	
5_36_3	3600	50115	15.96%	8860	10542	5.4	9755	
6_40_4	3600	103843	/	11801	/	9.6	15130	
6_44_4	3600	125491	1	13006	/	10.56	16574	
7_48_4	3600	172419	/	14219	/	13.44	18216	
7_52_4	3600	202179	/	15464	/	14.56	19659	
The bold entities represent the best values of all comparison algorithms.								

TABLE I Results for the MILP Model

698 that has been found in all of these compared algorithms. We first 699 calculate RPI of each instance, and then compute the average values of RPI for all the instances. It is notable that the range 700 of RPI value obtained by the different scale, respectively, has a 701 little difference according to the simulation experimental results. 702 Thus, in the following tables, "mean" value that is the average 703 704 values of RPI of all the instances, and can be calculated to test the overall performance of algorithms. In addition, we give the best 705 energy consumption of each algorithm in Tables II-V, where the 706 best results of the algorithms are marked in bold. 707

708 B. Verification of the MILP Model

In this section, we run 12 instances to verify the MILP 709 model and the performance of QIG algorithm. The MILP model 710 711 of the DHFSP with blocking constraints is solved by Gurobi in PyCharm software, using the python as the programming 712 language to find a feasible solution. The running time is set 713 as 3600 s. Table I summarizes the simulation results of 12 714 instances. In Table I, time represents the computation time cost 715 of the instance. For each instance, the number of constraints 716 is reported that indicates the complexity of the problem. The 717 Energy consumption express the optimal value of the MILP and 718 QIG algorithm. Symbol '/' means that the solution cannot be 719 found within 3600 s, and black bold font indicates the best 720 results. Gap=0 indicates that the optimal solution is found. 721 The smaller the Gap value, the better solution is. However, 722 for a minimization model, Gap is computed as (ObjVal-lower 723 bound)/ObjVal, where ObjVal is the objective value for the 724 current solution, and the lower bound is a bound of the best 725 possible objective obtained by using branch-and-bound method 726 of Gurobi. Thus, if the gap is not equal to 0, it does not mean 727 that no optimal solution is found. 728

As can be seen from Table I, due to the complexity of DHFSP 729 with blocking constraints and time limit, both MILP and QIG 730 algorithm can not find the same value as lower bound values. 731 Except for these 2 8 2, 2 12 2, and 3 16 2 instances, the 732 MILP model obtains better solutions than QIG algorithm, in 733 the following 9 instances of different scales, the QIG algorithm 734 achieves better objective values in much less time. With the 735 736 number of jobs, factories and stages increasing, the number of constraints in MILP model is also increasing dramatically. Sim-737 738 ilarly, the Gap value is also increasing. Through the experiment, we found that in the last four large scale examples, the MILP 739 model could not find a feasible solution in 3600 s, while QIG 740 could still give a feasible solution in a relatively short time, which 741 means that when the problem size increases to a certain extent, 742 743 the MILP model is not suitable for solving, which is also a major reason why we propose a meta-heuristic algorithm, i.e., the QIG 744 algorithm, to solve the DHFSP with blocking constraints. 745

After experimental analysis, the reason why the QIG algo-746 rithm proposed in this paper can solve this problem may be: 747 the idle and blocking state of machines become more, and the 748 optimal solution is getting harder and harder to find. Moreover, 749 all machines start at 0 time, when a job is assigned to a new 750 machine, it is necessary to calculate the idle energy consumption 751 of the machine from time 0 to process the first job. Therefore, 752 the system will balance whether to vacate the machine to reduce 753 the idle time of the machine. It is also the reason that greatly 754 increase the computational complexity of the algorithm. From 755 Table I, it is clear that the MILP model is able to find an optimal 756 solution for small instances. However, when the scale becomes 757 large, the model can not find the optimal solution in less time, or 758 even can not find the feasible solution. Thus, we believe that the 759 proposed QIG algorithm is more suitable than MILP for solving 760 large-scale and complicated instances. 761

C. Performance Analysis of the QIG Variants

To investigate the effectiveness of the global search strategy 763 and proposed selection mechanism, we compare the situations 764 that do not include these strategies. In the variant without the 765 selection mechanism, all local search strategies are selected by 766 random seeds. Similarly, the variant without the global search 767 strategy indicates that remove the strategy both in initialization 768 stage and iterative while loop. Among these algorithms, None-769 Selection mechanism (NO_S) represents the QIG algorithm 770 without the new selection mechanism. None-Global search strat-771 egy (NO G) represents the QIG algorithm without the global 772 search strategy. In Tables II and III, the number of factories (f), 773 jobs (n) and stages (s) are different, wherein the best values are 774 marked in bold, respectively. For each instance, the termination 775 criterion parameter ω is set to 10. All algorithms are repeatedly 776 executed 30 times, and the best value is selected for comparison. 777

As can be seen from results in Table II, compared to the NO_S 778 algorithm, QIG obtains 57 best results, NO_S obtains 33 best 779 results. The number of best values obtained by QIG is nearly 780 double than that of the NO_S algorithm. In the six mean sets, 781 QIG gets 5 best results and NO_S gets only 1 best result. The rea-782 son may be that the new selection mechanism effectively solves 783 the problem of experience occlusion between factories and help 784 them choose the appropriate strategy to improve their production 785 efficiency. Under the mechanism of sharing experience in dif-786 ferent factories, the energy consumption value of the factory can 787 be reduced. By observing results shown in Table III, QIG shows 788 outstanding performance and outperforms the NO G in all tests. 789 The reason may be that the global search strategy can effectively 790 explore the wide irregular range and promising neighborhood, 791 and improve the search ability of the QIG algorithm. Energy 792 consumption caused by blocking constraints is reduced. 793

According to above results and analysis, the main advantages 794 of the proposed strategies are as follows: 795

 The scheduling order of jobs in each factory is different, which will cause varying degrees of energy consumption. The proposed local search strategies can 798

Instance

n×s

OIG

TABLE II Results for the Energy Consumption With and Without Selection Mechanism

TABLE III
RESULTS FOR THE ENERGY CONSUMPTION WITH AND WITHOUT GLOBAL
SEARCH STRATEGY

NO G

OIG

NO G

Instance	n×s						
		QIG	NO_S			QIG	NO_S
	50×5	25087	25097		50×5	24698	24748
	50×8	43888	44033		50×8	47806	47792
	50×10	54536	54730		50×10	59790	59905
	100×5	52840	52781		100×5	52394	52058
	100×8	80546	80511		100×8	91511	91676
	100×10	109810	109881		100×10	108461	108739
	150×5	87076	87190		150×5	74849	74726
	150×8	130880	131141		150×8	123303	123259
f=2	150×10	164648	164760	f=5	150×10	159820	159914
	200×5	98267	98431		200×5	104022	103846
	200×8	176215	175901		200×8	170633	170916
	200×10	219773	220486		200×10	210710	210534
	300×5	161974	162360		300×5	154908	154952
	300×8	234025	233663		300×8	259988	260637
	300×10	340497	339994		300×10	296874	296083
	mean	132004.13	$\begin{array}{rrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrr$	mean	129317.8	129319	
	50×5	22594	22583		50×5	29692	29756
	50×8	39356	39486		50×8	44283	44257
	50×10	57007	57138		50×10	59289	59593
	100×5	52711	52584		100×5	54364	54331
	100×8	78140	78380		100×8	87144	86994
	100×10	114560	114869		100×10	114950	115141
	150×5	72109	71933		150×5	88734	88661
	150×8	127465	127091		150×8	123762	123990
f=3	150×10	147251	147324	f=6	150×10	156256	155896
5 -	200×5	103406	103496		200×5	95597	95515
	200×8	165556	165135		200×8	165556	165646
	200×10	216027	216223		200×10	213770	213915
	300×5	160533	160744		300×5	146358	146265
	300×8	242968	243481		300×8	260697	260350
	300×10	333630	333724		300×10	319391	318973
	mean	128887.53	128946.07		mean	130656.2	130618.87
	50×5	26652	26690		50×5	27346	27348
	50×8	39411	39524		50×8	50142	50185
	50×10	58341	58431		50×10	60114	60472
	100×5	54282	54392		100×5	51455	51694
	100×8	80954	80935		100×8	89578	89640
	100×10	110059	110469		100×10	108960	108958
	150×5	81159	81241		150×5	71869	71876
	150×8	119406	119509		150×8	136269	136082
f=4	150×10	168550	168757	f=7	150×10	170983	171203
<i>.</i>	200×5	99103	99201		200×5	111459	111551
	200×8	177409	177211		200×8	173060	173536
	200×10	224228	224067		200×10	214261	214550
	300×5	157040	157111		300×5	133149	133101
	300×8	251453	251736		300×8	254803	254835
	300×10	319878	319148		300×10	335510	335616
	mean	131195	131228 13		mean	132597.2	132709-8

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 The bold entities represent the best values of all comparison algorithms
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reduce the blocking conditions of jobs by adjusting the sequence order appropriately with the selection mechanism. It can improve the performance of QIG algorithm in big search neighborhoods, and increase the quality of the solutions.

- 804 2) In different periods of the iteration, using a reasonable
 805 local search strategy will produce a good result. The
 806 new selection mechanism chooses the appropriate strategy
 807 at the right time with the probability selection, which
 808 can effectively improve the production efficiency of each
 809 factory, reducing the energy consumption caused by the
 810 blocking constraints.
- 3) Jobs in different factories will have a direct impact on the
 energy consumption of the enterprise. Consider using a
 strategy based on cross-factory swap operation of jobs,
 which facilitates faster access to promising solutions in
 a shorter period of time, greatly improving the efficiency
 and performance of algorithm execution.

817 D. Comparisons With the Presented Efficient Algorithms

818 In this section, we compare energy consumption and RPI 819 values of different algorithms. The parameter ω is set to 5 and 10, 820 respectively. All algorithms run in the same termination condi-821 tion. The specific settings have been illustrated in subsection A.

			_				
	50×5	25087	25545		50×5	24726	25076
	50×8	38008	38215		50×8	44756	45898
	50×10	53121	53430		50×10	58743	59814
	100×5	46811	47093		100×5	50579	51642
	100×8	83773	84282		100×8	87821	89141
	100×10	107767	108959		100×10	110134	112164
	150×5	81444	82021		150×5	77872	78475
	150×8	128755	129369		150×8	124359	126075
f=2	150×10	166609	168012	f=5	150×10	164659	166921
5-2	200×5	104791	105449	J =5	200×5	100308	101630
	200×8	173262	173628		200×8	172713	174378
	200×10	206127	206561		200×10	209126	211294
	300×5	166511	167120		300~5	150081	151279
	300×8	2/0101	2/0280		300×8	245829	247496
	200×10	335624	225752		200×10	205421	207100
	500×10	131125 4	131647.8		500×10	127800 13	120225 47
	50×5	28074	28418		50×5	29670	30246
	50×9	41762	42660		50×9	50181	51226
	50×10	55113	55027		50×10	50161	61528
	100~5	40015	10680		100×5	55770	56042
	100×5	49015	49089		100×5	33779 85674	27462
	100×10	110294	120000		100×10	112507	07402
	150×5	72165	72742		150×5	60064	70492
	150×3	120206	12/43		150×5	124721	126701
6.2	150×10	129200	150520	5 6	150×8	154/51	130/81
<i>J=3</i>	20015	103899	103990	J=0	20045	1/242/	1/4/10
	200×3	1015/5	102327		200×3	10/400	108085
	200×8	152805	107075		200×8	100054	10/030
	200×10	162101	19/0/5		200×10	152446	215000
	300×3	103181	104271		300×3	152440	133993
	300×8	201920	203272		300×8	230520	237917
	300×10	324815	320073		300×10	328095	330300
	mean	130114.47	151218.07		mean	131427.73	155045.07
	50×3	42826	28941		50×5	40500	24313
	5010	43820	44/38		50×6	49390	72041
	50×10	51504	62441		30×10	/0/25	72941
	100×3	51594	32141		100×3	001/1	01005
	100×8	89/24	90/85		100×8	84585	84414
	100×10	110097	111980		150.5	01020	121910
	150×5	1/385	//8/4		150×5	81839	834/1
	150×8	122008	123449	6.7	150×8	125359	12/631
<i>f</i> =4	150×10	1/2106	1/4/46	f = l	150×10	170619	1/3360
	200×5	91/92	92/54		200×5	98028	99645
	200×8	174718	176771		200×8	176540	178754
	200×10	218970	221119		200×10	216192	218422
	300×5	145869	146637		300×5	145602	146962
	300×8	236842	238357		300×8	247366	249632
	300×10	314139	315962		300×10	334557	337737
	mean	129264.33	130581		mean	133483.53	135413.6

The bold entities represent the best values of all comparison algorithms.

To verify the performance of the proposed algorithm, we 822 compare the QIG algorithm to four different optimization al-823 gorithms, i.e., the CRO [26], the IG [43] for DPFSP, the 824 DPSO [22] for HFSP, and the modeling and multi-neighborhood 825 IG (MN-IG) [12] for DHFSP. These comparison algorithms have 826 shown great performance in solving related problems. To show 827 the performance of these comparison algorithms, experiment 828 parameters are set according to the original literature. CRO 829 generates many solutions during initialization. In each solution, 830 the factory is assigned to the job. Among them, the initialization 831 of one solution uses NEH_F heuristic, while the arrangement 832 order of other solutions is generated randomly, but the position of 833 job insertion is still based on the minimum energy consumption 834 value in all positions. Other operations are carried out according 835 to the original literature. IG and MN-IG algorithm also utilize the 836 *NEH* F heuristic to get an initial solution, and other operations 837 are as same as the original paper. Due to the DPSO algorithm is 838 used for solving the HFSP, there is no factory assigned strategy 839 in the original literature. Thus, in this paper, we utilize some 840 methods for assigning the jobs to factories in these initial solu-841 tions. For these solutions, one solution is generated by using the 842 *NEH_F* heuristic. The other one is to first assign a number of jobs 843 equal to the number of factories, then extract one remaining job 844 at a time and place it at the end of the sequence in all factories to 845 test which factory has the smallest energy consumption. Finally, 846 we choose the location with the smallest objective value to 847

TABLE IV ENERGY CONSUMPTION AND RPI VALUES FOR T = 5 NS MILLISECONDS

TABLE V ENERGY CONSUMPTION AND RPI VALUES FOR T = 10 NS MILLISECONDS

MN_IG[12] QIG

CRO[26] IG[44] DPSO[22]

		UKU[20]	16[4	+]	DPSO	[22]	MN_IG	[12]	QIG	
Instance	n×s	best	RPI	best	RPI	best	RPI	best	RPI	best	RPI
	50×5	27100 [†]	10.69	27533†	9.79	26956†	8.89	25549†	1.84	25087	0.93
	50×8	42723†	9.81	43982†	9.77	42745†	7.94	41261†	2.97	40069	0.86
	50×10	55716†	9.3	57190†	10.28	55019†	7.63	53279†	2.74	51857	0.74
	100×5	51641*	10.22	51876†	8.54	52357†	10.33	48997÷	2.52	47794	0.66
	100×8	831122	11.74	82462*	9.24	838591	11.34	78883÷	3.84	75969	0.97
	100×10	110256+	9.46	112158+	9.5	112121+	0.24	105248+	1.69	102602	0.44
	150.5	720214	0.40	720514	0.3	741206	2.24	694434	1.08	105005	0.44
	150×5	729217	9.6	739517	9.31	741297	10.28	68443T	1.17	6/652	0.55
	150×8	137129†	8.05	137864†	7.72	138499†	8.27	130100†	1.32	128400	0.33
f=2	150×10	172058†	9.55	173599†	9.21	173352†	9.53	162788†	2.3	159123	0.42
	200×5	110005†	10.21	111236†	9.07	114538†	12.53	103707†	1.44	102235	0.71
	200×8	179421†	10.86	179960†	9.12	184449†	12.37	167646†	1.56	165075	0.58
	200×10	2288261	8.78	2295631	8.29	2307461	9.11	216563‡	1.75	212841	0.49
	300~5	1400070	5.07	150911+	6.53	154544+	8.68	1399794	1.06	137786	0.41
	200-2	2749504	10.55	2752914	0.35	2012714	12.44	2557624	0.76	252082	0.52
	30028	2748.301	10.55	273261	9.4.3	2613711	12.44	2337031	0.70	255065	0.55
	300×10	3495347	9.95	349028T	9.29	3200817	11.79	3281857	1.06	324000	0.58
	mean	136359.27	9.58	137139.6	8.94	138717.73	10.02	128356	1.93	126309.33	0.61
	50×5	27928†	11.51	29054†	12.44	28194†	10.39	27237†	5.41	25839	0.89
	50×8	48324†	10.15	49215†	9.78	47607†	8.02	46593†	3.93	44830	0.92
	50×10	60528*	7.04	60997÷	7.52	59520÷	6.49	58491÷	3.1	56733	0.53
	100×5	544132	0.21	554850	10.21	55566±	10.72	52618÷	3.77	50705	0.78
	100-5	966499	11.01	992126	10.22	97226+	10.10	82427+	2.70	80106	0.93
	10048	1174214	10.22	1106004	0.26	1100044	10.12	1117604	2.17	108(37	0.85
	100×10	117451)	10.27	1180081	9.30	1169041	10.28	1117001	2.00	108627	0.72
	150×5	77246†	9.59	77918†	9.01	78882†	11.24	73075†	1.86	71738	0.63
	150×8	140602†	9.36	143271†	9.57	144698†	11.25	135259†	3.26	130983	0.52
f=3	150×10	155841†	9.5	157533†	8.65	158501†	10.23	148501†	2.25	145227	0.61
	200×5	107876†	12.11	108069†	9.73	110870†	12.36	1013701	2.19	99202	0.65
	200×8	1804571	8.87	1816421	8.81	183945†	10.67	1706921	2.2	167011	0.51
	200×10	221613	8.63	221344+	7.61	224030+	0.72	200364+	1.74	205790	0.69
	200×10	1674746	0.05	1600754	7.01	1725424	10.25	1557444	1.27	154212	0.67
	300×5	16/4/41	8.89	1088/51	7.89	1735427	10.35	1557447	1.27	154515	0.65
	300×8	2825647	10.59	2836167	9.74	2882997	12.92	266/5/7	0.93	263788	0.59
	300×10	346640†	8.58	350271†	7.72	355977†	9.74	328397†	1.13	323833	0.5
	mean	138372.33	9.69	139607.33	9.22	141111.33	10.31	131219.67	2.58	128587.67	0.67
	50×5	31980*	7.37	32661*	7.99	31979÷	7.2	31661†	4.69	30244	0.47
	50×8	445132	8 35	45561*	8.01	44576÷	8.06	44316÷	5.40	42011	0.71
	50×10	672110	6.01	67505+	6.74	66795+	6 22	69409+	7 22	62726	0.50
	100.15	602754	0.21	604226	7.4.4	610554	0.52	601264	0.75	26291	0.39
	100×5	602757	8.4	604327	7.44	610557	8.71	58130T	2.75	50581	0.76
	100×8	95791†	6.72	97485†	7.83	97604†	8.41	92597†	1.97	90808	0.78
	100×10	115036†	8.76	117663†	9.37	117131†	9.67	112716†	4.29	108075	0.61
	150×5	83870†	8.59	86268†	8.89	87014†	10.46	80727†	1.9	79223	0.38
	150×8	135913†	8.31	137944†	9.4	139093†	10.56	131160†	3.43	126805	0.59
f=4	150×10	166950†	8.59	170011	9.35	1716321	10.58	160798†	2.97	156160	0.68
,	200-45	006084	11.02	101804+	10.96	104904+	14.24	05140±	2	02382	0.62
	20083	1000504	0.62	1010041	0.00	1020004	14.24	1007004	2.02	72302	0.02
	200×8	1890501	9.55	1908117	9.02	1928901	11.19	1807881	3.02	1/5460	0.44
	200×10	2148547	8.51	2173707	8.38	2196447	9.92	2045887	1.78	201015	0.47
	300×5	152143†	8.69	153029†	8.36	156234†	10.33	143484†	1.41	141877	0.32
	300×8	271859†	8.69	277508†	8.23	282167†	11.1	259766†	1.13	254713	0.46
	300×10	354152†	8.1	356392†	9.28	360792†	11.26	338278†	1.98	331848	0.44
	mean	138887	8.42	140835.6	8.67	142299.33	9.87	133504.8	3.14	130063.87	0.55
	50×5	27561*	8.40	28336÷	7.48	28161÷	8.1.4	27300÷	3.02	26365	0.39
	50-0	493364	0.47	500154	0.50	405604	0.14	472044	2.55	AEC04	0.95
	50×8	482201	0.7	300131	9.39	463061	0.21	473041	3.33	45064	0.65
	50×10	70542†	8.26	71968†	9.28	69879†	7.72	71019†	7.61	65996	0.8
	100×5	56528†	7.86	57550†	7.95	57819†	9.36	55484†	4.02	53339	0.47
	100×8	97270†	9.18	98464†	7.79	99106†	9.3	94051†	2.91	91392	0.52
	100×10	117345†	8.25	119838†	8.21	119202†	8.47	112996†	1.94	110846	0.73
	150×5	78236†	9.23	793221	8.23	809391	10.67	76025†	3.27	73616	0.7
	150×8	131441*	7.42	132080÷	8 21	135066±	10.53	127630+	3.48	123338	0.49
6-5	150×10	1707676	6.07	175990+	7.01	177075+	0.10	167206+	2.22	163517	0.51
J=3	200.7	1102644	10.97	1100564	0.40	1220204	2.12	1073001	2.52	100827	0.51
	200×5	1183547	10.09	1198567	9.49	1220297	11.76	1130707	2.95	109825	0.56
	200×8	179340†	6.93	182860†	8.59	184775†	10.01	172705†	2.19	169003	0.37
	200×10	244889†	6.94	247832†	7.13	250673†	8.77	236556†	2.05	231808	0.31
	300×5	176939†	7.73	178041†	7.66	181252†	9.54	167837†	1.94	165786	0.46
	300×8	283581†	7.98	286155†	7.55	290460†	9.65	274575†	1.24	269038	0.47
	300×10	352199÷	6.98	355406÷	6.68	362079÷	8.68	337519÷	-2.06	332180	0.47
	moon	143681.2	8.07	145634 73	8.12	147139.97	0.22	139765.07	2.02	135449 97	0.54
	505	204105	0.07	202204	7.41	302294	7.00	206194	7.57	20.0440.07	0.7
	50.0	304191	9.01	30320F	- 7.91	30336 f	1.13	200101	0.10	44553	1.05
	50×8	473747	9.32	485947	9.44	477907	9.08	483007	8.42	44551	1.05
	50×10	708571	8.82	71728†	8.16	70126†	6.9	/1048†	7.14	66316	0.84
	100×5	52532†	7.36	53752†	7.44	54234†	9.46	51852†	3.26	50217	0.46
	100×8	97422†	8.75	99972†	8.63	99667†	9.08	95632†	3.58	92324	0.54
	100×10	118759†	8.71	121851†	9.61	120360†	9.4	114349†	2.86	111170	0.76
	150×5	83903†	8.05	85534†	8.13	86813†	9.73	81306†	2.09	79645	0.41
	150×8	141265*	9.07	141972*	8.56	144181*	10.65	135756*	3.25	131477	0.61
6-6	150×10	171428+	6.42	175120+	7.54	175282*	8.48	167/33*	2 77	162927	0.56
<i>j</i> =0	200-12	1022645	0.42	1040204	0.04	1060004	11.00	001405	2.11	06607	0.50
	200X5	1053547	9.31	1049/07	8.95	1008907	11.28	991107	2.59	90605	0.01
	200×8	185843†	7.39	188038†	6.59	191515†	9.15	1808481	2.51	176416	0.48
	200×10	225792†	7.13	229274†	7.59	230852†	8.97	218728†	2.43	213548	0.36
	300×5	164613†	6.76	166665†	7.14	170519†	9.32	155668†	1.61	153079	0.49
	300×8	251377*	9.49	255909†	9.26	260830+	11.81	241602†	1.69	235988	0.64
	300×10	354410+	8,14	359972+	8,56	366597+	11.29	343445+	2.38	335195	0.48
	mean	130056 53	8.25	142245 33	82	143732.03	0.40	135713	3.61	131861.47	0.6
	505	1000000	0.23	202724	0.12	281122.93	2.42	205444	10.14	26925	0.69
	30X3	263137	0.05	292727	9.12	204907	6.03	293447	10.14	20625	0.00
	50×8	50216†	7.27	51208†	6.93	50499†	6.98	51906†	8.32	47918	0.67
	50×10	76431†	7.35	76611†	6.39	76000†	6.71	76082†	5.52	72103	1.01
	100×5	56199†	6.38	57431†	6.67	58618†	9.38	56440†	4.56	53978	0.55
	100×8	97381+	8.57	97525*	7.22	98688†	9.01	94356†	3.23	91402	0.89
	100×10	115538+	6.14	117565*	7.51	117186+	8.28	115207+	513	109590	0.57
	150-1	21000485	0.14	812505	0.01	027004	10.20	780144	2.10	76201	0.46
	150×5	809451	0.43	823307	8.02	03/091	10.85	140(04)	3.45	/0281	0.40
	150×8	144936†	7.69	147332†	7.63	149417†	9.87	140604†	2.53	13/133	0.62
f=7	150×10	175689†	7.7	177693†	7.9	179346†	9.35	168981†	2.21	165326	0.58
	200×5	1177111	8.64	120124†	8.38	122109†	10.45	113768†	2.44	111061	0.41
	200×8	188753†	6.28	191523†	7.21	193504†	8.93	182689†	2.03	179047	0.51
	200×10	237210+	7,22	239587+	7.72	244778+	10.47	228489+	2,59	222728	0.66
	300×5	1570164	6.8	159523+	7.65	163563+	9.96	149541+	2.46	146285	0.4
	200-2	2673844	8.04	272415	0.49	276052	12.70	256200-	2.40	252423	0.51
	300X8	2073841	8.05	273413†	9.48	2709537	12.40	2502907	2.25	252433	0.51
	300×10	342313†	7.45	349851†	8.6	355649†	10.28	331318†	1.53	325427	0.39
	mean	142402.33	7.51	144734	7.8	146569.67	9.4	138275.27	3.89	134502.47	0.59
The bo	ld entitie	c renrecent	the be	st values of	all cor	nnarison al-	aorithm	e			

insert. This step stops until all jobs are assigned to factories. The 848 rest solutions are produced by replacing the descending order in 849 *NEH F* with ascending order. and the other steps are the same 850 as the original NEH_F. After the initialization is completed, the 851 remaining operations are performed according to the original 852 literature. For the proposed QIG algorithm in this paper, through 853 simulation tests, we find that the setting value of parameters in 854 these sub strategies has little effect on the final results, and there 855 is no significant difference between solutions. Finally, after the 856 consideration based on results, we set the parameter learning rate 857 $\alpha = 0.5$, the discount rate $\gamma = 0.8$, and the number of destruction 858 jobs d = 3 [32]. 859

In addition, to evaluate different degrees of results between 860 two algorithms in statistics, we perform Wilcoxon rank-sum 861 tests with the significance level of 0.05 to examine whether there 862 863 is a significant difference between the comparison algorithm

Instance	50×5	27100+	1111	27522+	0.70	26024÷	8.4.4	25540+	1.9.4	25097	0.02
	50×8	47904+	10.14	47895+	7.6	473275	7.45	455961	2.43	44513	0.81
	50×10	558422	8 27	57766+	10.06	553841	7.15	536284	2.18	52485	1.02
	100×5	56967:	0.27	57452÷	8 23	57818	0.57	545792	2.10	53155	0.54
	100×8	96309	0.24	96362t	7.82	96805±	8 77	910862	1.66	89602	0.58
	100×10	1153052	0.18	117472	8.89	116131*	8.2	1103380	2.21	107954	0.50
	150×5	82273+	9.10	82817÷	8.53	842315	10.44	783622	2.21	76686	0.56
	150×8	1432642	8.46	144900÷	8.69	144271+	8.8	134908÷	1 10	133319	0.4
f=2	150×10	1727202	8.56	175027†	8.7	176196†	10.18	1663921	3 34	161021	0.69
<i>J</i> = 2	200~5	1034742	10.8	105276	10.73	105105*	11.11	966042	1.61	95075	0.69
	200×8	1000842	0.23	101600+	8.40	194018	10.47	170387÷	1.52	176708	0.52
	200×10	2274521	8 39	228427†	7.68	229469†	8.88	2156521	1.65	212142	0.37
	300×5	1686927	9	170234†	8.24	175189†	10.68	157573†	0.96	155856	0.43
	300×8	275105†	10.63	276250†	9.25	281493†	12.02	257231*	1.43	253598	0.5
	300×10	3461141	7.88	348896†	7	354094†	8.59	326359*	1.57	321641	0.47
	mean	140573.67	9.51	141866.47	9.38	142964.33	12.86	132882.93	1.1	130589,47	0.44
	50×5	30315†	10.27	30932†	8.99	30244†	11.62	29053†	1.43	28452	0.55
	50×8	47355†	8.81	48044†	8.47	47548†	10.49	46746†	1.47	44949	0.37
	50×10	59866†	9.39	60767†	8.7	59111†	9.76	57725†	1.8	55828	0.57
	100×5	61952†	8.61	62761†	9.56	63069†	7.25	60072†	2.11	58636	0.88
	100×8	90861†	8.23	92453†	7.02	92332†	7.47	87296†	4	84888	0.61
	100×10	114935†	8.43	116814†	8.91	115954†	7.52	109464†	3.4	106968	0.74
	150×5	83802†	9.15	84621†	7.38	85893†	8.74	80048†	2.45	77949	0.57
	150×8	139326†	9.07	140704†	9.43	141858†	9.53	132546†	2.84	129419	0.76
f=3	150×10	182628†	9.61	184792†	9.47	184852†	9.64	174641†	2.33	170914	0.63
	200×5	108381†	9.64	109123†	9.26	110628†	10.92	101452†	2.69	99958	0.57
	200×8	175798†	10.02	178202†	9.11	180046†	10.25	166083†	2.42	162226	0.47
	200×10	240530†	8.57	243285†	8.24	244822†	8.86	229325†	2.18	224099	0.56
	300×5	159096†	10.5	159844†	9.69	164568†	11.32	151071†	1.49	148832	0.52
	300×8	273324†	10.49	277199†	10.08	279993†	12.08	258825†	2.38	255444	0.59
	300×10	346952†	8.83	352836†	8.65	355125†	9.92	328093†	2.33	324485	0.44
	mean	141008.07	9.34	142825.13	8.03	143736.2	10.52	134162.67	1.78	131536.47	0.51
	50×5	27716†	10.5	28115†	8.9	27715†	11.29	28213†	1.79	26451	0.61
	50×8	52526†	8.77	52565†	7.67	51761†	9.78	51160†	1.77	48757	0.41
	50×10	670341	9.15	6/1/8†	7.80	66274†	10.99	651187	1.5	62573	0.4
	100×5	513867	6.55	530287	7.65	528447	9.49	498957	2.28	48560	0.48
	100×8	896377	8.10	935857	8.7	928647	10.34	883487	1.52	85595	0.57
	100×10	1128757	9.09	1149907	8.04	114/127	9.11	1106177	2.28	10/152	0.57
	150×5	820037	8.37	831037	0.24	84010F	7.05	198251	0.00	120002	0.85
e 1	150×8	1410517	9.01	1430297	8.54	1439927	7.41	1351557	4.93	130882	0.88
J=4	150×10	1080224	8.00	1927687	/.0	1924637	7.06	1818417	4.07	1///95	0.68
	200×3	1072614	7.16	199603+	9.55	102622±	9.65	1702214	2.75	175771	0.05
	200×10	1075011	6.65	222121+	7.40	226070+	7.73	220806+	3.22	216126	0.5
	200×10	1825004	0.05	1851424	7.42	180360÷	0.07	172400÷	3.23	170665	0.75
	300~8	2876824	8.72	200146	0.76	206315+	10.01	275278+	3.26	270237	0.50
	300×10	33/8202	8.11	340687±	8.42	346608+	0.06	318076*	2.20	312606	0.42
	mean	142003.67	7.80	145075 13	8.12	146687.93	10.00	137442.6	1.69	134170 33	0.33
	50×5	25563†	8.69	25942†	7 79	25218†	10.37	249143	1.96	23584	0.5
	50×8	475751	7 34	48926+	8 35	473371	9.9	466681	2.17	45012	0.47
	50×10	67183†	9.43	67809 ⁺	7.91	66431†	10.61	683931	1.39	62855	0.56
	100×5	53312†	8.07	53707÷	9.02	53862†	10.45	51140*	1.97	49819	0.5
	100×8	92039†	6.88	94437†	7.84	92898†	10.66	885671	2.37	86266	0.44
	100×10	114817†	8.28	116081†	8.95	116278†	9.95	111094†	1.11	108079	0.43
	150×5	85417†	8.67	86291†	8.67	87504†	11.42	82295†	1.66	79466	0.51
	150×8	146370*	7.23	147997†	7.59	149581†	9.99	141357*	1.87	138078	0.29
f=5	150×10	176220†	8.06	178573†	8.3	179977†	9.54	170678†	2.75	165762	0.55
	200×5	118627†	10.11	120235†	10	121812†	8.94	113847†	5.64	111597	0.89
	200×8	185895†	7.49	188538†	8.72	192359†	6.65	179535†	3.68	176734	0.6
	200×10	231677†	8.44	234019†	7.96	237160†	7.06	222228†	8.81	217456	0.49
	300×5	152631†	9.3	154398†	8.35	158264†	9.23	146857†	2.65	144635	0.59
	300×8	276720†	9.09	279348†	9.47	283625†	8.58	265110†	2.67	261212	0.78
	300×10	357725†	8.22	361275†	7.76	367829†	8.7	341701†	2.79	335676	0.46
	mean	142118.07	10.75	143838.4	8.72	145342.33	11.66	136958.93	3.56	133748.73	0.61
	50×5	26728†	7.8	27810†	7.28	27270†	9.06	26641†	2.37	25586	0.36
	50×8	55130†	7.52	55343†	8.18	54869†	9.45	56574†	2.97	51858	0.39
	50×10	66038†	8.61	67007†	7.97	65791†	9.64	66637†	2.02	62391	0.47
	100×5	50572†	0.86	51192†	0.84	51214†	9.28	484891	1.58	46864	0.29
	100×8	957557 112626÷	1.97	973027	1.92	97405*	9.59	922627	2.19	89565	0.74
	100×10	1130257	9.47	1133887	10.65	1134067	15.55	740626	2.41	10/144	0.55
	150×5	112181	0.9	1402104	9.88	19377	11.13	1340064	2.38	120847	0.59
6-6	150×10	1200484	0.22	1726244	0.59	1720104	11.24	1621516	2.02	12904/	0.0
<i>j</i> =0	200×10	100418*	7.22	103100+	6.0	105863+	0.70	073382	1.54	94444	0.51
	200×3	1844425	6.86	187637÷	7 16	189/177÷	0.19	179076÷	1.04	173638	0.24
	200×10	2410479	8 36	243807÷	8.43	247277÷	9.63	2333779	3.01	226709	0.52
	300×10	1572022	7.24	158925+	8.69	164678	8.25	1513681	4.12	148006	0.65
	300×8	283146*	7.85	286314+	6.84	291269+	7.04	271646*	9.09	266053	0.71
	300×10	321769*	7.62	326988+	7.6	332790+	6.34	309580*	6.81	302694	0.7
	mean	138668.47	11.1	140861.2	9.6	142481	11.06	134244.73	3.47	130374.67	0.53
	50×5	28313†	9	29272†	8.65	28446†	9.91	29544†	3.01	26797	0.69
	50×8	56109†	8.66	56581†	8.08	56231†	8.97	57645+	2.18	53217	0.37
	50×10	675471	7.89	68361†	8.77	67297†	10.16	677141	3.11	64234	0.7
	100×5	55346†	7.45	56325†	8.35	56175†	9.96	54619†	3.27	51977	0.39
	100×8	93213†	8.78	95370†	9.21	95154†	10.16	95699†	2.55	87966	0.41
	100×10	113906†	9.17	114304†	9.58	115688†	12.81	115660†	3.06	107426	0.67
	150×5	77580†	7.44	79021†	8.06	79940†	10.27	75378†	3.13	73079	0.44
	150×8	133152†	7.54	135253†	7.72	137414†	9.6	133090†	2.94	125631	0.45
f=7	150×10	179962†	7.66	182288†	8.66	183899†	11.14	174818†	2.35	170238	0.37
	200×5	112389†	6.25	114897†	7.59	116594†	8.91	108688†	1.86	104857	0.32
	200×8	179944†	7.43	181926†	8.37	186803†	10.28	175384†	2.84	169640	0.29
	200×10	231688†	6.83	234810†	7.86	237572†	10.22	223440†	1.79	218539	0.46
	300×5	152366†	6.91	155242†	7.73	158560†	11.75	146445†	2.27	143159	0.38
	300×8	261959†	7.11	265861†	8.01	272575†	9.87	252167†	2.1	247557	0.48
	300×10	358833†	7.89	364805†	8.3	369988†	9.82	347179†	3.33	339454	0.5
	mean	140153.8	8.95	142287.73	9.24	144155.73	/.8/	13/164.67	10.25	152251.4	0.5
The bo	dd entifie	s renresent	the he	st values of	all con	inarison ale	porithm	s			

and the QIG. In Tables IV and V, the symbol '†' represents 864 that best result whether is significantly different from the QIG 865 algorithm. If there is no symbol identification between these two algorithms, the difference is not significant.

It can be seen from formula (12), c_i is the value for the current algorithm, c_{best} is obtained from the best result of all 869 algorithms. According to Tables IV, and V, QIG algorithm 870 achieves the best value in each scale, and its RPI values are 871 all minimum in all repeated experiments. In addition, for results 872 of Wilcoxon rank-sum tests with the 0.05 significance level, 873 the significance level of any algorithm compared to the QIG 874 is far less than 0.05, indicating that comparison algorithms are 875 significantly different from the QIG algorithm. According to 876



Fig. 2. The convergence curves of compared algorithms.

simulation results, the QIG algorithm substantially outperformscomparison algorithms.

These results mentioned above show advantages of the QIG algorithm, the reasons are as follows:

1) The proposed strategy improves the diversity of the algorithm while maintaining the local search ability of the original IG
algorithm, and the reordering of jobs can greatly reduce blocking
conditions.

2) The proposed global search strategy greatly improves the
quality of the solution by exchanging jobs across factories
quickly, and helps find a better solution in the big search neighborhood, so as to reduce the energy consumption.

3) The new selection mechanism embedded in the IG algorithm helps the factory choose the appropriate strategy at a
reasonable time. It enables experience sharing and interaction
among factories and reduces inappropriate policy choices.

893 E. Convergence Curves and Confidence Intervals

In this section, we further evaluate the performance of algorithms. To give the convergence graphic display of all comparison algorithms, we select two representative examples, where scales ($f \times n \times s$) are $5 \times 50 \times 8$, $2 \times 100 \times 10$, respectively. As shown in Figs. 2(a) and (b), convergence curves of these algorithms use different colors and symbols, ordinate represents the energy consumption value of the job sequence, and abscissa



Fig. 3. Interactions for CRO, DPSO, IG, MIN_IG, and QIG. (a) Interactions of all the compared algorithms. (b) Interactions of algorithms and factory. (c) Interactions of algorithms and stage. (d) Interactions of algorithms and the number of jobs.

represents the execution time of the algorithm (unit: milliseconds). These two examples represent changes in the convergence performance of algorithms respectively when the problem scale is continuously expanded. 904

To have a clear identification of results, we give the ANOVA 905 analysis of all algorithms. As shown in Fig. 3(a)-(d), mean plots 906 and interactions plots with 95% HSD intervals represent the 907 average level and overall performance of algorithms. HSD is a 908 method that can compare the average values of each pair. LSD 909 uses t-test to perform all pairwise comparisons between group 910 means. It verifies that there is a significant difference between 911 two values. Subfigures 3(a), 3(b), 3(c), and 3(d) represent types 912 of RPI, factory, stage and job number, respectively. RPI means 913 the gap between different algorithms and the best value at each 914 scale. All algorithms are executed when $\omega = 10$. 915



Fig. 4. Gantt charts of the QIG algorithm.

As can be seen from Fig. 2(a) and (b), the initial solution of 916 917 QIG algorithm is better than other algorithms, because the global search strategy greatly improves the quality of the initial solution 918 by swapping jobs across factories. In the whole iteration process, 919 some algorithms, such as CRO, IG and MN-IG, which are easy 920 to fall into local optimal, while the local search strategy selected 921 by proposed selection mechanism improves the performance of 922 923 the QIG algorithm. The QIG algorithm combines with the global search strategy, to a certain extent, it prevents the solution from 924 falling into local optimum. In Fig 3(a), the difference of RPI 925 value obtained by QIG algorithm between the best value and the 926 worst value is very small, and it shows that the QIG is the best 927 928 one among all algorithms. From Fig. 3(b)-(d), we can further 929 see that the stability and performance of the proposed algorithm is superior than other comparison algorithms, then MN-IG, IG, 930 CRO, DPSO follows with the good performance. Obviously, 931 IG series of algorithms are better for solving the DHFSP with 932 blocking constraints, and QIG algorithm achieve best results 933 among them. All in all, through four test instance subgraphs, we 934 can intuitively see that the proposed QIG gets the best energy 935 consumption value of job sequence. 936

F. Gantt Charts of the QIG Algorithm 937

To intuitively observe the processing sequence and block-938 ing status of jobs in different factories, we provide the Gantt 939 charts of the $2 \times 50 \times 5$ ($f \times n \times s$) instance. The advantage of 940 drawing Gantt chart is that it can provide the optimal schedul-941 ing scheme for the factory managers and help them make the 942 right decisions. Fig. 4(a), and (b) show Gantt charts of two 943 identical factories when $\omega = 10$, respectively. In these Gantt 944 charts, the abscissa represents the completion time of jobs, and 945 946 the ordinate represents different machine numbers at different

stages. Each job has unique color and number. Through the 947 experimental test, the minimum energy consumption in this 948 example is 25087. The order of the processing jobs in factory 1 949 is 38-3-21-44-34-4-1-40-13-17-31-5-22-20-30-2-24-15-47-46-950 49-36-41-16-9. The order of the processing jobs in factory 2 951 is 35-32-29-14-26-23-7-39-25-45-48-27-19-10-37-18-12-8-11-952 50-43-33-6-42-28. The completion times of the two factories are 953 275 and 309 respectively. 954

VI. CONCLUSION

In this paper, we design an effective QIG algorithm to solve 956 the DHFSP with blocking constraints with minimizing the en-957 ergy consumption. This work contributes to the scheduling and 958 allocation of the distributed hybrid flow shop. To solve the 959 DHFSP with blocking constraints, we proposed an improved 960 QIG algorithm. From extensive simulation tests, it can be seen 961 that the QIG algorithm is superior to other compared algorithms 962 in solution quality and search ability. The outperformance of 963 the QIG algorithm is mainly attributed to the following aspects: 964 1) The proposed global search strategy helps the algorithm to 965 generate a good initial solution, so that it has a greater probability 966 to find the near-optimal solution than other algorithms in the iter-967 ative process. Moreover, the strategy improves the global search 968 ability of the algorithm, and prevents the solution from falling 969 into the local optimum: 2) A new selection mechanism inspired 970 by Q-learning is embedded into IG algorithm to help factories 971 make a reasonable strategy choice at the certain moment. It helps 972 the enterprise break the closed states of each factory: 3) All 973 five local search strategies are designed for blocking constraints 974 in a single factory, and the energy consumption is reduced by 975 continuously rearranging jobs. It demonstrates the effectiveness 976 of the proposed strategies to solve the DHFSP with blocking 977 constraints. 978

In future research, the proposed QIG algorithm can be further 979 explored to solve other types of the DHFSP with various con-980 straints, such as lot-streaming, setup time, assembly, and some 981 uncertain scheduling problems. Besides, for the DHFSP, we will 982 expand the optimization goal from single to multiple, such as the 983 maximum completion time. However, the implementation of this 984 algorithm is somewhat complicated, such as the combination of 985 selection mechanism and local search strategy, which will be 986 further optimized later. Next, we will redesign the appropriate 987 strategies to solve the problem according to characteristics of the 988 problem. It is also meaningful to integrate the intelligent method 989 into the strategy to realize a self-learning mode. 990

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